PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Chopra et al.	)	Group Art Unit 1765
App. No.	:	09/973,854 PADEMAN	)	COPY OF PAPERS ORIGINALLY FILED
Filed	:	October 9, 2001	)	Company Date - Local - Company - Company
For	:	INLINE MONITORING OF PAD LOADING FOR CuCMP AND DEVELOPING AN ENDPOINT TECHNIQUE FOR CLEANING	) ) ) )	RECEIVED
Examiner	:	Unknown	. )	TC 1700
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## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

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Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed within three months of the filing date of this application or upon filing if this is a CPA or RCE, and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

Dated: _	1/2/01	KNOBBE, MARTENS, OLSON & BEAR, LLP  By:
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**FORM PTO-1449** 

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

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APPLICATION NO. 09/973,854

COPY OF PAPERS
ORIGINALLY FILED

GROUP 1765

FILING DATE & PRADEMARY
October 9, 2001

U.S. PATENT DOCUMENTS					
DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	DOCUMENT NUMBER	DOCUMENT NUMBER DATE			

FOREIGN PATENT DOCUMENTS								
EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	CLASS	SUBCLASS	TRANSLATION	
INITIAL						YES	NO	
	-							
							<u> </u>	

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)					
	Α	Dinesh Chopra and Ian Ivar Suni, "An Optical Method for Monitoring Metal Contamination during Aqueous Processing of Silicon Wafers", <i>J. Electrochem.</i> Soc., Vol. 145, No. 5, May 1998, pp. 1688-1692.					
	В	Dinesh Chopra, lan Ivar Suni and Ahmed A. Busnaina, "Cu Dissolution from Si(111) into an SC-1 Process Solution", J. Electrochem. Soc., Vol. 145, No. 4, April 1998, pp. L60-61.					
	С	Peter Singer, Editor-in-Chief, "Copper CMP: A Question of Tradeoffs", Semiconductor International, http://www.semiconductor.net/semiconductor/issues/issues/2000/200005/six0005cmp.asp, May 2000, 11 pages.					
	D	"The CMP Business Unit", Rodel Products, http://www.rodel.com/Products/CMP.asp, 1998-2000, 13 pages.					
	E	Kurt Hery and Dr. David P. Norwood, "Study of the Refractive Index Increment of Polyelectrolytes and Neutral Polymers", Southeastern Louisiana University, http://www.selu.edu/Academics/ArtsC\Sciences/connections/journal1/k-hery/Hery.html, 7 pages.					
	F	Iqbal Ali and Sudipto R. Roy, "Pad conditioning in interlayer dielectric CMP", Texas Instruments, http://www.semipark.co/Pad%20conditioning%20in%20interlayer%20dielectric%20CMP.ht, June 1, 1997, 6 pages.					
	G	"Chemical Mechanical Planarization (CMP)", S.C. Solutions, http://www.scsolutions.com/smp.html, 4 pages.					

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EXAMINER DATE CONSIDERED

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\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.